## I can't get no... Constraint Satisfaction

Professor Marie Roch Chapter 6, Russell & Norvig (skip 6.3.4, 6.5)

## Constraint satisfaction problems (CSP)

Solutions with caveats

Example: Find a way to take classes such that I graduate in four years

- prerequisites
- course availability
- funding

#### Constraint satisfaction problems (CSP)

#### • To date, states were

- atomic didn't care about internal representation except with respect to analyzing for goal/heuristic
- mutated by actions that produced a new atomic state
- Factored representations
  - states have internal structure
  - structure can be manipulated
  - constraints relate different parts of the structure to one another and provide legal/illegal configurations



#### CSP Definition

- Problem =  $\{X, D, C\}$
- X Set of variables  $X = \{X_1, X_2, \dots, X_n\}$
- D Set of domains  $D = \{D_1, X_i = x_i\}$

 $D = \{D_1, D_2, \dots, D_n\}$  $X_i = x_i \text{ where } x_i \in D_i$ 

 $C = \{C_1, C_2, \dots, C_m\}$ 

 C – Set of constraints such that

 $C_i = \langle (Variables), relationship(variables) >$ Example:  $\langle (X_2, X_5), X_2 \neq X_5 >$ 



#### CSP example: map coloring

 Color territories on a map using 3 colors such that no two colors are adjacent



### Map coloring

- Graph representation
- Variables X={WA, NT, SA, Q, NSW, V, T}
- All variables have the same domain D<sub>i</sub>={red, green, blue}
- Constraint set
   C={SA ≠ WA, SA ≠ NT, SA ≠ Q, SA ≠ NSW, SA ≠ V, WA ≠ NT, NT ≠ Q, Q ≠ NSW, NSW ≠ V}
   or {adjacent(t<sub>a</sub>,t<sub>b</sub>)→t<sub>a</sub> ≠ t<sub>b</sub>}





## Scheduling example

Partial auto assembly

- Install front and rear axels (10 min each)
- Install four wheels (1 min each)
- Install nuts on wheels (2 min each wheel)
- Attach hubcap (1 min each)
- Inspect

$$Variable set X$$

$$X = \begin{cases}
Axle_{F}, & Axle_{B}, & Wheel_{RF}, & Wheel_{LF}, \\
Wheel_{RB}, & Wheel_{LB}, & Nuts_{RF}, & Nuts_{LF}, \\
Nuts_{RB}, & Nuts_{LB}, & Cap_{RF}, & Cap_{LF}, \\
Cap_{RB}, & Cap_{LB}, & Inspect
\end{cases}$$



### Constraint types

- Domain values
  - Time at which task begins {0, 1, 2, ...}
- Precedence constraints
  - Suppose it takes 10 minutes to install axles.



 We can ensure that front wheels are not started before axle assembly is completed:

 $Axle_{F} + 10 \leq Wheel_{RF}$ 

 $Axle_F + 10 \leq Wheel_{LF}$ 

 Disjunctive constraints – e.g. doohickey needed to assemble axle, but only have one

 $Axle_F + 10 \le Axle_B$  or  $Axle_B + 10 \le Axle_F$ 





#### Constraint types

- Unary single variable  $Z \leq 10$
- Binary between two variables  $Z^2 > Y$
- Global constraints with 3+ variables can be reduced to multiple binary/unary constraints

 $X \le Y \le Z \longrightarrow X \le Y$  and  $Y \le Z$ 

 $alldiff(W, X, Y, Z) \rightarrow W \neq X, W \neq Y, W \neq Z, X \neq Y, \dots$ 

Note: Global constraints do not have to involve all variables



#### Constraint graphs

Cryptoarithmetic puzzle Find a different digit for each letter such that substitution results in a valid equation.

 $\begin{array}{ccccccc}
T & W & O \\
+ & T & W & O \\
\hline
F & O & U & R
\end{array}$ 

CSP specification

- X = {F,T,U,W,R,O,C<sub>1</sub>,C<sub>2</sub>,C<sub>3</sub>}
- D = {0, 1, 2, 3, 4, 5, 6, 7, 8, 9}

Alldiff(F,T,U,W,R,O)

 $C_3 = F$ 

}

C<sub>i</sub>'s are auxiliary variables for carry digits

Alldiff( $X_1, X_2, ..., X_i$ )  $\rightarrow \forall j, k: j \neq k \text{ and } 1 \leq j, k \leq i, x_j \neq x_k$ 





#### Binarization of constraints

- Convert n-ary constraints into unary/binary ones.
- Example: constraint on X, Y, Z with domains:

 $X \in \{1, 2\} Y \in \{3, 4\}, Z \in \{5, 6\}$ 

• Create encapsulated variable U Cartesian product  $U = X \times Y \times Z$  $U \in \begin{cases} (1,3,5), (1,3,6), (1,4,5), (1,4,6), \\ (2,3,5), (2,3,6), (2,4,5), (2,4,6) \end{cases}$ 







#### Another example: House puzzle

- A row of 5 houses, each one
  - has a color
  - contains a person with a nationality
  - has a household favorite candy
  - has a household favorite drink
  - contains a pet
  - all attributes are distinct
- How should we represent this?



#### House Puzzle Constraints

- The Englishman lives in the red house.
- The Spaniard owns the dog.
- The Norwegian lives in the first house on the left.
- The green house is immediately to the right of the ivory house.

- The man who eats Hershey bars lives in the house next to the man with the fox.
- Kit Kats are eaten in the yellow house.
- The Norwegian lives next to the blue house.
- The Smarties eater owns snails.



#### House Puzzle Constraints

- The Snickers eater drinks orange juice.
- The Ukranian drinks tea.
- The Japanese eats Milky Ways
- Kit Kats are eaten in a house next to where the horse is kept.

- Coffee is drunk in the green house.
- Milk is drunk in the middle house.

Answer the questions: Where does the zebra live? Which house drinks water?



#### House Puzzle Representation

• Variables – What's common to each thing?

• Domains – What are the domains?

#### House Puzzle representation

- Constraints are location based, e.g. milk is drunk in the middle house.
- Could we associate variables with a location?
- If so, what are
  - our variables?
  - their domains?
  - and how do we write our constraints?



#### House puzzle representation

- Colors: red, green, ivory, yellow, & blue
- Nationalities: English, Spaniard, Norwegian, Ukranian, and Japanese
- Pets: dog, fox, snails, horse, and zebra
- Candies: Hershey bars, Kit Kats, Smarties, Snickers, and Milky Way
- Drinks: orange juice, tea, coffee, milk, and water

Note: water and zebra were inferred from the questions



#### House puzzle representation

#### Some examples

• Milk is drunk in the middle house.

milk = 3

• Coffee is drunk in the green house

coffee = green

• Kit Kats are eaten in a house next to where the horse is kept.

abs(kit kats – horse) = 1

• The green house is immediately to the right of the ivory home.

green = ivory + 1

• The Norwegian lives next to the blue house

```
Norwegian = blue + 1 or Norwegian = blue -1
```

• The Norwegian lives in the first house on the left

Norwegian = 1



#### Implementing a CSP problem: Representation

- variables simple list
- values Mapping from variables to value lists e.g. Python dictionary
- neighbors Mapping from variables to list of other variables that participate in constraints
- binary constraints
  - explicit value pairs
  - functions that return a boolean value



#### Representation of house problem

• variables:

list of colors, nationalities, pets, candies, & drinks {red, green, ivory, yellow, blue, English, Spaniard, ...}

- values:  $X_i \in \{1, 2, 3, 4, 5\}$ except milk =  $\{3\}$ , Norwegian =  $\{1\}$
- neighbors:
  - all variable pairs from constraints, e.g. Englishman & red
  - alldiff(red, green, ivory, blue), alldiff(English, Spaniard, ...), other category alldiffs



#### Representation of house problem

• constraints – Function f(A, a, B, b)

where A and B are variables with values a and b respectively.

Returns true if constraint is satisfied, otherwise false

Example: f("Englishman", 4, "red", 5) returns false as the Englishman lives in the red house.

#### Let's think about inference

- We know: Norwegian = {1}, milk = {3}
- Consider: Norwegian = blue + 1 or Norwegian = blue - 1

```
with a sprinkle of algebra we have:
blue = Norwegian – 1 or blue = Norwegian+1
or as Norwegian can only be 1:
blue = 1-1 or blue = 1+1
```

• We know blue  $\in$  {1,2,3,4,5}, therefore blue=2

```
• Due to the all diff constraint, we also know:

\forall color_{color \neq blue} color \in \{1,3,4,5\}

\forall natl_{natl \neq Norwegian} natl \in \{2,3,4,5\}
```



#### Another inference example

- We have the constraint: green = ivory + 1 and we know that green & ivory in {1,3,4,5}
- Suppose green=3, can the constraint hold?
- What about green=1?
- We can deduce:  $green \in \{4,5\}$
- What about ivory?



# How do we formally tame this beastie?

#### **General strategies**

- Local consistency: Reduce set of possible values through constraint enforcement and propagation
  - node consistency
  - arc consistency
  - path consistency
- Perform search on remaining possible states

#### Node consistency

• A variable is *node-consistent* if all values satisfy all unary constraints

fruits = { apples, oranges, strawberries, peaches, pineapple, bananas } Condition: allergic(TreeBornFruit) Reduced domain: {strawberries, pineapple}

• Other unary conditions could further restrict the domain



#### Arc consistency

- arc-consistent
  - variable all binary constraints are satisfied for the variable
  - network all variables in CSP are arc-consistent
- Arc consistency only helps when some combinations of values preclude others...



#### Arc Consistency

Each territory has domain {orange, green, blue}



Tasmania

WA  $\neq$  SA: {(orange, green), (or

{(orange, green), (orange, blue), (green, orange), (green, blue), (blue, orange), (blue, green)}

Does this reduce the domain of WA or SA?



#### Arc Consistency

- Constraints that eliminate part of the domain can improve arc consistency
- Variables that represent task starting times

 $T1 = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$ 

T2 = {2, 3, 4, 5, 6, 7, 8, 9}

• Constraint: T1 + 5 < T2 yield consistent domains

 $T1 = \{0, 1, 2, 3, 4\}$ 

T2 = {6, 7, 8, 9}



#### AC-3 arc consistency algorithm

AC3(CSP): "CSP(variables X, domains D, constraints C)" q = Queue(binary arcs in CSP) while not q.empty(): (X<sub>i</sub>, X<sub>i</sub>) = q.dequeue() # get binary constraint if revise(CSP, X<sub>i</sub>, X<sub>j</sub>): if domain( $X_i$ ) =  $\emptyset$  return False else: for each  $X_k$  in {neighbors( $X_i$ ) -  $X_i$ }: q.enqueue( $X_k$ ,  $X_i$ ) return True

 $O(cd^3)$  worst case complexity (c # constraints, d max domain size)



#### AC-3 arc consistency

```
revise(CSP, X<sub>i</sub>, X<sub>j</sub>):
    "Restrict domain X<sub>i</sub> such that it is consistent with X<sub>j</sub>"
    revised = False
    for each x in domain(X<sub>i</sub>):
        if not ∃y∈domain(X<sub>j</sub>) such that
            constraint holds between x & y:
        delete x from domain(X<sub>i</sub>)
        revised = True
```

return revised

Note: In your text,  $D_i$  is used instead of domain( $X_i$ ). They are the same thing and both represent the domain values that have not yet been eliminated. This is the *current* domain of the variable, not the original one.

#### Path and k- consistency

- Higher levels of consistency, beyond our scope
- General ideas:
  - Path consistency See if a pair of variables  $\{X_i, X_j\}$  consistent with a 3<sup>rd</sup> variable  $X_k$ . Solved similarly to arc consistency
  - K-consistency

Given k-1 consistent variables, can we make a k<sup>th</sup> variable consistent (generalization of consistency)



#### Global constraints

Consider the "all different" constraint.

- Each variable has to have a distinct value.
- Assume m variables, and n distinct values.
- What happens when m > n?



#### Global constraints

Extending this idea:

- Find variables constrained to a single value
- Remove these variables and their values from all variables.
- Repeat until no variable is constrained to a single value
- Constraints cannot be satisfied if
  - 1. A variable remains with an empty domain
  - 2. There are more variables than remaining values



#### Resource constraints ("atmost")

$$atmost(20, X, Y, Z) \rightarrow X + Y + Z \le 20$$
  
 $atmost(10, P_1, P_2, P_3, P_4) \rightarrow \sum_{i=1}^{4} P_i \le 10$ 

- Consistency checks
  - Minimum values of domains satisfy constraints?
  - $P_i = \{3, 4, 5, 6\}$  as  $3 + 3 + 3 + 3 = 12 \le 10$
- Domain restriction
  - Are the largest values consistent with the minimum ones?

• 
$$P_i = \{2, 3, 4, 5, 6\}$$
 as  $2 + 2 + 2 + (5 \text{ or } 6) = (11 \text{ or } 12) \le 10$ 



#### Range bounds

- Impractical to store large integer sets
- Ranges can be used [min, max] instead
- Bounds propagation can be used to restrict domains according to constraints

X domain [25, 100][75, 100]Y domain [50, 125][100, 125] $\checkmark$ 

How did we get [75, 100]?  $Y = 125 \rightarrow X \ge 75$ 





Maki Kaji 1951-2021 (photo: AP)

- Puzzle game played with digit symbols
- All-different constraints exist on units
- Some cells initially filled in

row unit
box

row unit
nit

Jef Vandenberghe Sodoku tutorial

• Hard for humans, pretty simple for CSP solvers





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Sample constraints

- Alldiff(A1,A2,A3,A4,A5,A6,A7,A8,A9)
- Alldiff(A1,B1,C1,D1,E1,F1,G1,H1,I1)
- Alldiff(A1,A2,A3,B1,B2,B3,C1,C2,C3)

These can be expanded to binary constraints, e.g.  $A1 \neq A2$ 



AC-3 constraint propagation

- E6: d={1, 2, 3, 4, 5, 6, 7, 8, 9}
- Box constraints:
   d<sub>1</sub> = d {1, 2, 7, 8} = {3, 4, 5, 6, 9}
- Column constraints:
   d<sub>2</sub> = d<sub>1</sub> {2, 3, 5, 6, 8, 9} = {4}

	1	2	3	4	5	6	7	8	9
А			3		2		6		
В	9			3		5			1
С			1	8		6	4		
D			8	1		2	9		
Е	7								8
F			6	7		8	2		
G			2	6		9	5		
Н	8			2		3			9
Ι			5		1		3		

Therefore E6=4



AC-3 constraint propagation

- I6: d={1, 2, 3, 4, 5, 6, 7, 8, 9}
- Column constraints:
   d<sub>1</sub> = d {2, 3, 4, 5, 6, 8, 9} = {1, 7}
- Row constraints:
   d<sub>2</sub> = d<sub>1</sub> {1, 3, 5} = {7}

	1	2	3	4	5	6	7	8	9
А			3		2		6		
В	9			3		5			1
С			1	8		6	4		
D			8	1		2	9		
Е	7					4			8
F			6	7		8	2		
G			2	6		9	5		
Н	8			2		3			9
Ι			5		1		3		

Therefore I6=7

For this puzzle, continued application of AC-3 would solve the puzzle (not always true)



#### Naked sets

- Yellow squares form a naked pair {1, 5}
  - one must contain 1
  - other 5
- Can subtract 1 and 5 from domains of all other cells in row unit.
- These types of "tricks" are not limited to Sudoku puzzles.

4	1 5	3 5	2	7	т С	6	1 8 9	s co
7	9	8	1	5	6	2	3	4
1 6	2	36 56	8	4	m a	1 5	1 9	7
2	3	7	4	6	8	9	5	1
8	4	9	5	3	1	7	2	6
5	6	1	7	9	2	8	4	3
οc	8	2	ou	1	5	4	7	9
1 6 9	7	56	60	2	4	3	1 8	ωœ
1 3 6 9	1 5	4	0 0 0 0	8	7	1 5	1 6	2



#### Back to searching...

- Once all constraints have been propagated, search for a solution.
- Naïve search
  - Action picks a variable and a value. *n* variables domain size  $d \rightarrow n \cdot d$  possible search nodes
  - Search on next variable.
  - Backtrack when search fails.
- Problems with naïve search
  - n variables with domains of size d
  - *nd* choices for first variable, (n 1)d for second....  $nd \cdot (n - 1)d \cdot ... \cdot 2d \cdot 1d = n! d^n$

leaves but there are only d<sup>n</sup> possible assignments!



#### Back to searching

- CSPs are commutative
- Order of variable selection does not affect correctness (may have other impacts)
- Modified search
  - Each level of search handles a specific variable.
  - Levels have d choices, leaving us with d<sup>n</sup> leaves



#### Backtracking Search

```
def backtracking-search(CSP):
    return backtrack({}, CSP); # call w/ no assignments
```

```
def backtrack(assignment, CSP):
 if all variables assigned, return assignment
 var = select-unassigned-variable(CSP, assignment)
 for each value in order-domain-values(var, assignment, csp):
   if value consistent with assignment:
     assignment.add({var = value})
     # propagate new constraints (will work without, but probably slowly)
     inferences = inference(CSP, var, assignment)
     if inferences \neq failure:
       assignment.add(inferences)
       result = backtrack(assignment, CSP)
       if result \neq failure, return result
   # either value inconsistent or further exploration failed
   # restore assignment to its state at top of loop and try next value
   assignment.remove({var = value}, inferences)
 # No value was consistent with the constraints
 return failure
```



#### Backtracking search

- Several strategies have been employed so far to make searches more efficient, e.g.
  - heuristics (best-first and A\* search)
  - pruning (alpha-beta search)
- Can we come up with strategies to improve CSP search?



#### select-unassigned-variable

- Could try in order: {X<sub>1</sub>, X<sub>2</sub>, ..., X<sub>n</sub>} Rarely efficient...
- Fail-first strategies
  - Minimum remaining value heuristic: Select the most constrained value; the one with the smallest domain. Rationale – probably the most likely variable to fail
  - Degree heuristic: Use the variable with the highest number of constraints on other unassigned variables.





#### select-unassigned-variable

• Minimum remaining value usually is a better performer than degree heuristic, but not always:





#### order-domain-values

- The order of the values within a domain may or may not make a difference
- Order has no consequence
  - if goal is to produce all solutions or
  - if there are no solutions
- In other cases, we use a fail-last strategy
  - Pick the value that reduces neighbors' domains as little as possible.



#### inference in search

- forward-checking
  - Check arc consistency with neighboring variables.
  - We will see that maintaining arc-consistency (variant of AC3) is more powerful as it propagates all the way through the graph as opposed to forward checking that just looks at neighbors.



#### forward-checking example





#### forward-checking example

	WA	NT	Q	NSW	V	SA	т
Initial domains	R G B	RGB	RGB	RGB	R G B	R G B	R G B
After WA=R	R	G B	R G B	R G B	R G B	G B	R G B
After SA=G	R	В	RB	R B	R B	G	R G B
After Q=R	R	В	R	В	R B	G	R G B
After V=R	R	В	R	В	R	G	RGB



#### forward-checking example

When we assigned SA=G, we restricted NT to B However, Q was only restricted to R B

Forward checking does not check anything other than constraints <sup>\</sup> with the neighbor being assigned.

WA

SA

NSW

	WA	ΝΤ	Q	NSW	V	SA	Т
Initial domains	R G B	RGB	RGB	RGB	RGB	R G B	R G B
After WA=R	R	G B	RGB	RGB	RGB	G B	R G B
After SA=G	R	В	R B	R B	R B	G	R G B
After Q=R	R	В	R	В	R B	G	R G B
After V=R	R	В	R	В	R	G	R G B



#### Maintaining arc consistency (MAC)

- Algorithm that propagates constraints beyond the node.
- AC3 algorithm with modified initial queue
  - typical AC3 all constraints
  - MAC constraints between selected variable X<sub>i</sub> and its neighbors X<sub>j</sub> {(X<sub>j</sub>, X<sub>i</sub>): X<sub>j</sub> neighbor(X<sub>i</sub>)}





### Intelligent backtracking

- Suppose variable ordering: Q, NSW, V, T, SA, WA, NT
- and assignments: {Q=red, NSW=green, V=blue, T=red}
- SA is problematic...
  - backtracking will try new values for Tasmania
- What if we could *backjump* to the variable that caused the problem?



### Conflict-directed backjumping

- Maintain a *conflict set* for each variable X:
   A set of assignments that restricted values in X's domain.
- When a conflict occurs, we backtrack to the last conflict that was added.
- In the case of SA,
  - assignments to Q, NSW, and V restricted SA's domain
  - variable ordering: Q, NSW, V, T, SA, WA, NT
  - SA conflict set {Q=red,NSW=green,V=blue}
  - so we backjump to SA's last conflict V. with {Q=red,NSW=green}





#### Backjumping implementation

- On forward checks of X assigned to x,
  - when X deletes a value from Y's domain, add X=x to Y's conflict set
  - If Y is emptied, add Y's conflict set to X's and backjump to the last added conflict.

Adding these lets us be smarter about where to backjump.

• Easy to implement, build conflict set during forward check.

### Backjumping

- What we prune in conflict-directed backjumping is redundant to what we'd prune from forward checking or MAC searches.
- Interesting, but better to just use forward checking/MAC...
- Still a good idea, what if we could extend it?

#### More sophisticated backjumps...

- Assignments to the right are inconsistent
  - Suppose we try and assign T, NT, Q, V, SA
  - SA, NT, Q have reduced domains {green, blue} and cannot be assigned
  - Backjumping fails when a domain is reduced to Ø as SA, NT, and Q are consistent with WA, NSW.
- Can we determine that there is a *conflict set* {WA, SA, NT, Q} that are causing the issue?



### Conflict-directed backjumps

- Variable order: WA, NSW, T, NT, Q, V, SA
- SA fails. conf(SA) = {WA=red, NT=blue, Q=green}
- Last variable in conf(SA) is Queensland
  - Absorb SA's conflict set into Q

 $conf(Q) = conf(Q) \cup conf(SA) - \{Q\}$ 

- conf(Q)
  - = {NT=blue, NSW=red} U {WA=red, NT=blue,Q=green}-{Q=green}
  - = {WA=red, NSW=red, NT=blue}

Unable to assign a different color to Q, backjump

- conf(NT) = conf(NT) U conf(Q)-{NT}
  - = {WA=red} U {WA=red, NSW=red, NT=blue}

= {WA=red, NSW=red}

When we run out of colors for NT, we will backump to NSW

Note: conf(SA) would have had NSW=red if NSW was processed before WA





# Constraint-learning and no-goods

• On the Australia CSP, we identified a minimal set of assignments that caused the problem.

• We call these assignment *no-goods*.



• We can avoid running into this problem again by adding a new constraint (or checking a no-good cache).



#### Local Search CSPs

- Alternative to what we have seen so far
- Assign everything at once
- Search changes one variable at a time
  - Which variable?

#### Min-Conflicts Local Search

```
def minconflicts(csp, maxsteps):
  current = assign all variables
  for i = 1 to maxsteps:
    if solution(current), return current
    var = select conflicted variable at random from current
    val = find value that minimizes the number of conflicts
    update current such that var=val
    return failure
```



#### Min-Conflicts local search

- Pretty effective for many problems, e.g. million queens problem can be solved in about 50 steps
- This is essentially a greedy search, consequently:
  - local extrema
  - can plateau
  - many techniques discussed for hill climbing can be applied (e.g. simulated annealing, plateau search)