

# Search



Professor Marie Roch  
Chapter 3, Russell & Norvig

Border Tuner by Rafael Lozano-Hemmer  
international searchlight art installation,  
El Paso, TX y ciudad Juárez, Chihuahua  
Photo credit: Mariana Yañez

# Solving problems through search

- State – atomic representation of world
- Goal formulation
  - What objective(s) are we trying to meet?
  - Can be represented as a set of states that meet objectives: goal states
- Problem formulation
  - Decide actions and states to reach a goal



# Search

- Assume environment is
  - observable
  - discrete (finite # of actions)
  - deterministic actions
- Search process returns a plan:  
set of states & actions to reach a goal state
- Plan can be executed



author unknown

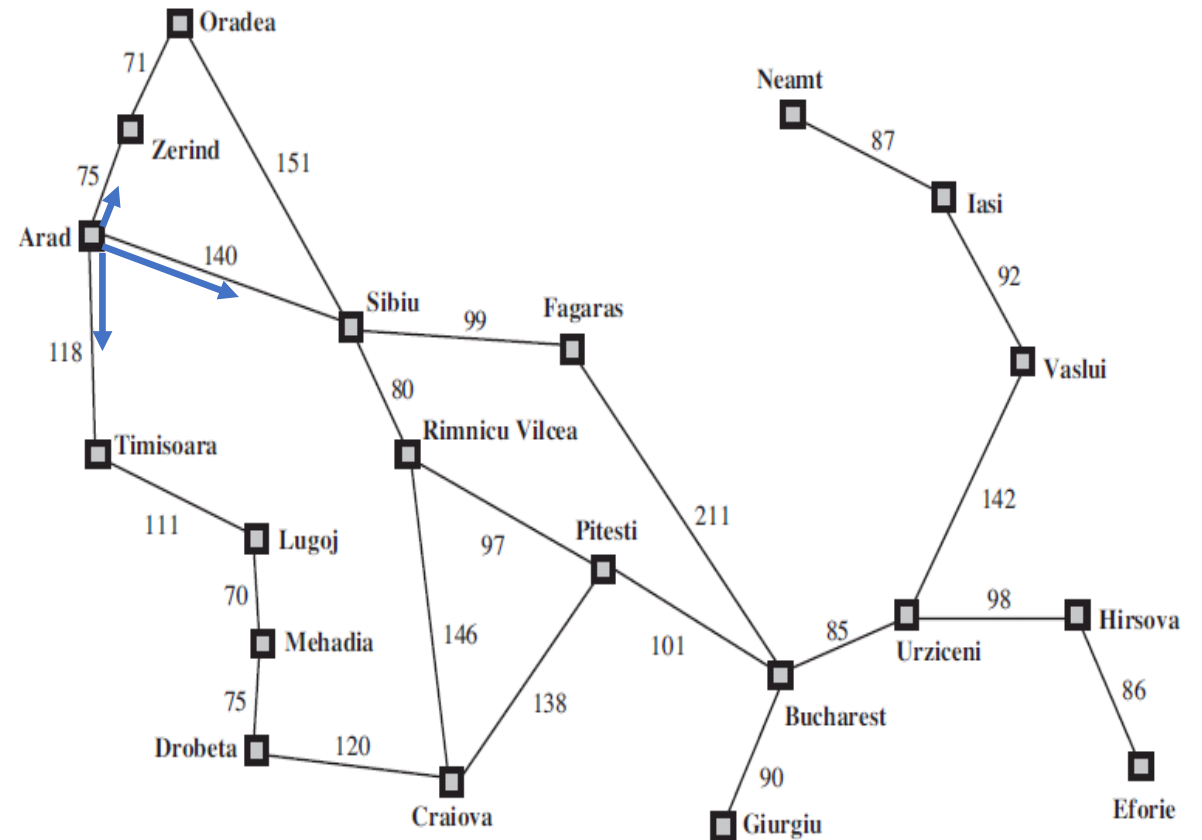
# Search problem components

- Initial state



# Search problem components

- Initial state
- Actions
  - function that returns set of possible decisions from a given state
- actions(in(arad))  $\rightarrow$  {go(sibiu), go(Timisoara), go(zerind)}



**Abstract** view of Romanian roads

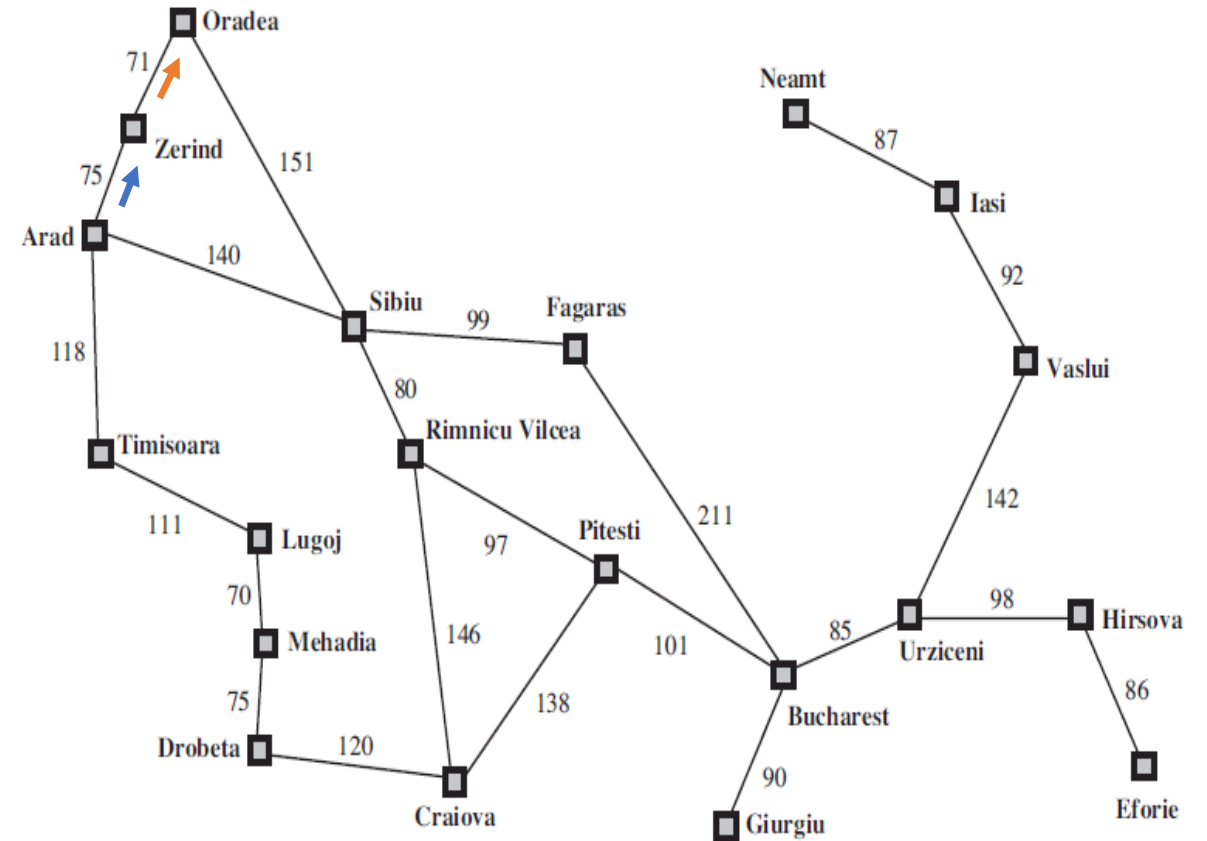
(Russel and Norvig 2010, Fig 3.2)

Note: Abstractions are valid when we can map them onto a more detailed world



# Search problem components

- Initial state
- Cost
  - Each action has a step cost:  
 $\text{cost}(\text{in}(\text{arad}), \text{go}(\text{zerind}), \text{in}(\text{zerind})) = 75$
  - A path has a cost which is the sum of its step costs:
    - path:  $\text{in}(\text{arad}), \text{in}(\text{zerind}), \text{in}(\text{Oradea})$
    - cost of path  
 $\text{cost}(\text{in}(\text{arad}), \text{go}(\text{zerind}), \text{in}(\text{zerind})) + \text{cost}(\text{in}(\text{zerind}), \text{go}(\text{oradea}), \text{in}(\text{oreadea})) = 75 + 71 = 146$



Abstract view of Romanian roads

(Russel and Norvig 2010, Fig 3.2)

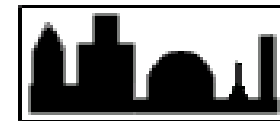
# Search problem components

- Initial state
- Actions
- Cost
- Transition model is a function that reports the result of an action applied to a state:

$\text{result}(\text{in}(\text{arad}), \text{go}(\text{zerind})) \rightarrow \text{in}(\text{zerind})$



Arad

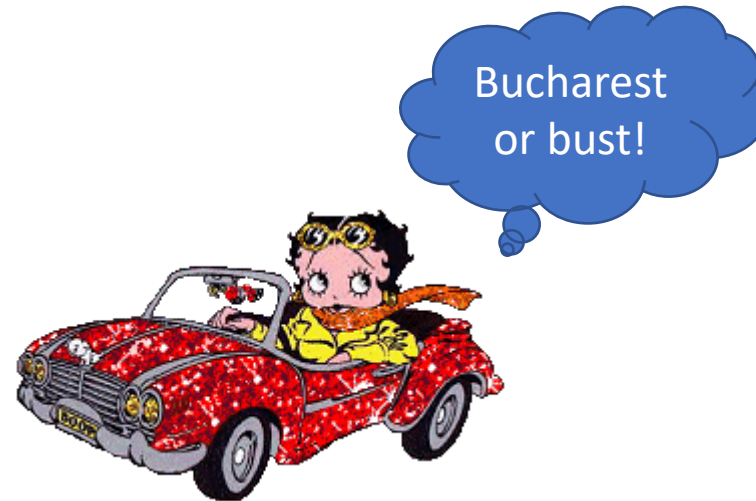


Zerind



# Search problem components

- Initial state
- Actions
- Cost
- Transition model
- Goal predicate
  - Is the new state a member of the goal set?
  - goal: {in(bucharest)}

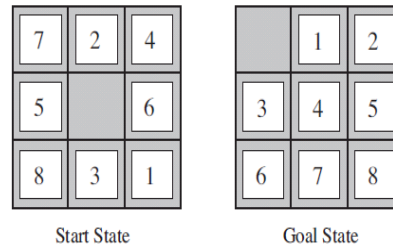


Any path that reaches a goal is a *solution*, the lowest cost path is an *optimal solution*.



# Sample toy problems

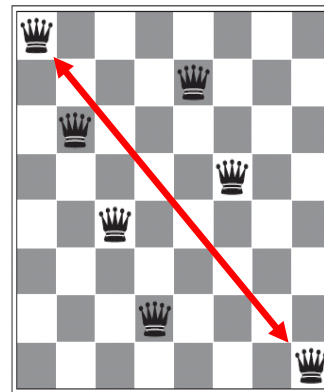
- n-puzzle



8-puzzle and one possible goal state

[Figure 3.4 R&N 2010]

- n-queens



8-queens state

[Figure 3.5 R&N 2010]

see text for other examples



# Constructing a problem: n-queens

- States
  1. complete-state:
    - n-queens on board
    - move until no queen can capture another.
  2. Incrementally place queens
    - initial empty board
    - add one queen at a time

# Incremental n-queens

- state: Any arrangement of  $[0, n]$  queens
- initial state: empty board
- actions: add queen to empty square
- transition model: new state with additional queen
- goal test:  $n$  queens on board, none can attack one another

# Incremental n-queens

- A well-designed problem restricts the state space
  - Naïve 8 queens
    - 1<sup>st</sup> queen has 64 possibilities
    - 2<sup>nd</sup> queen has 63 possibilities...

$$64 \times 63 \times 62 \dots \approx 1.8 \times 10^{14}$$

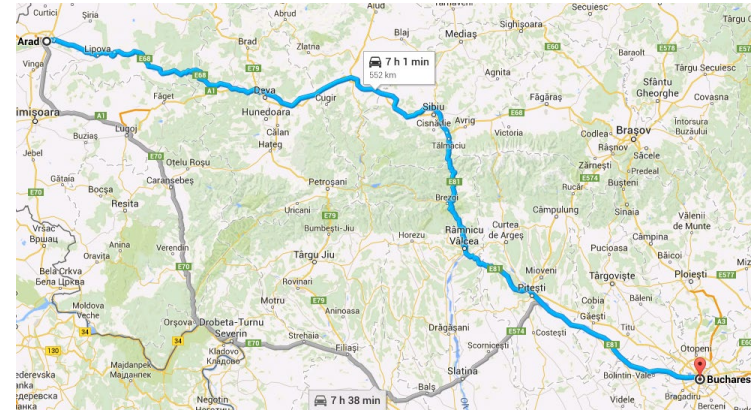
- Smarter:
  - Actions only returns positions that would not result in capture
  - State space reduced to 2057 states.



designed by  
Christine Kawasaki-Chan

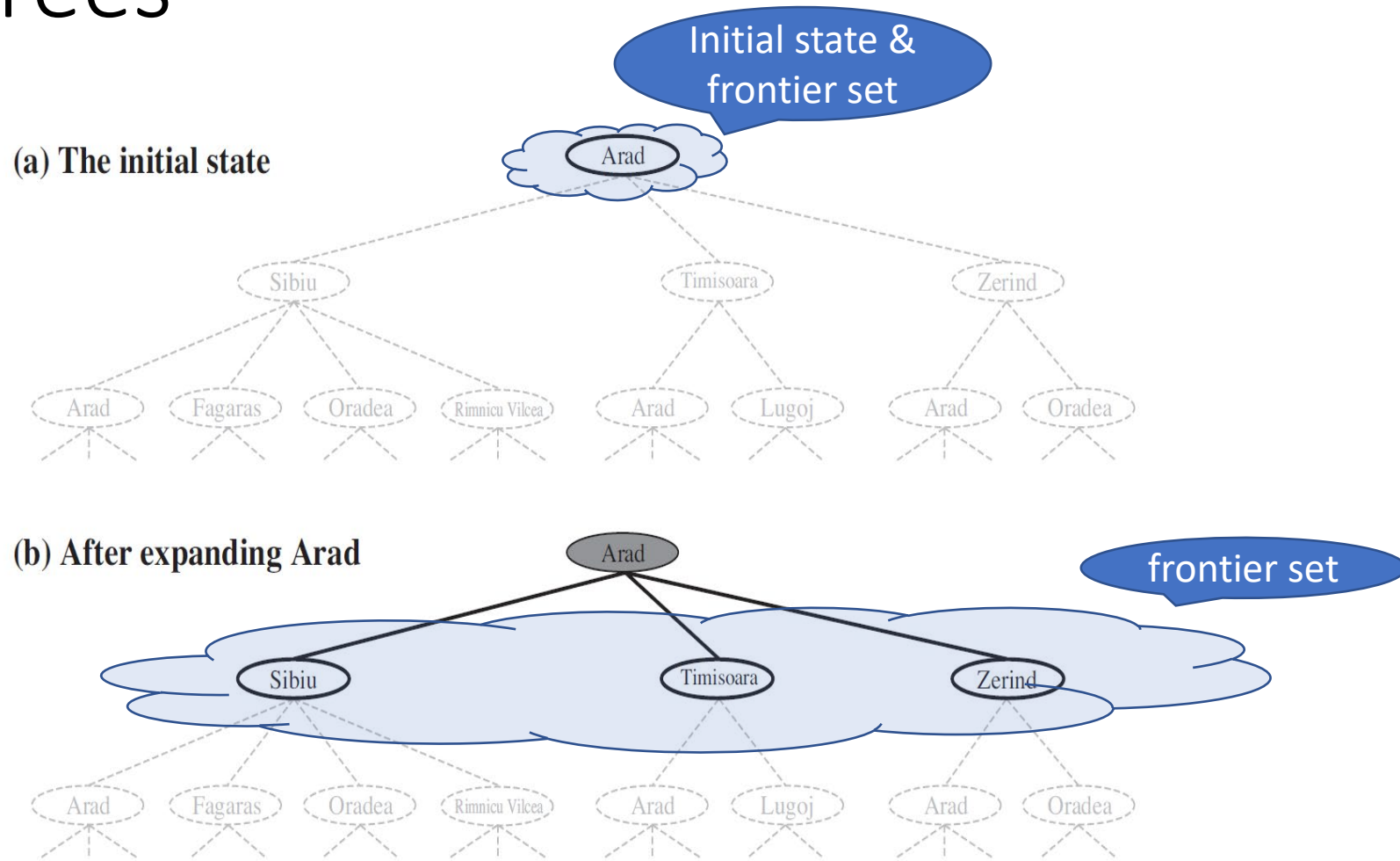
# Classic real-world problems

- route-finding problem
  - transportation (car, air, train, boat, etc.)
  - networks
  - operations planning
- touring problem
  - Visit a set of states  $\geq 1$  time
- traveling salesperson
  - Visit a set of states exactly 1 time



- Others: VLSI layout, autonomous vehicle navigation & planning, assembly sequencing, pharmaceutical discovery

# Search trees

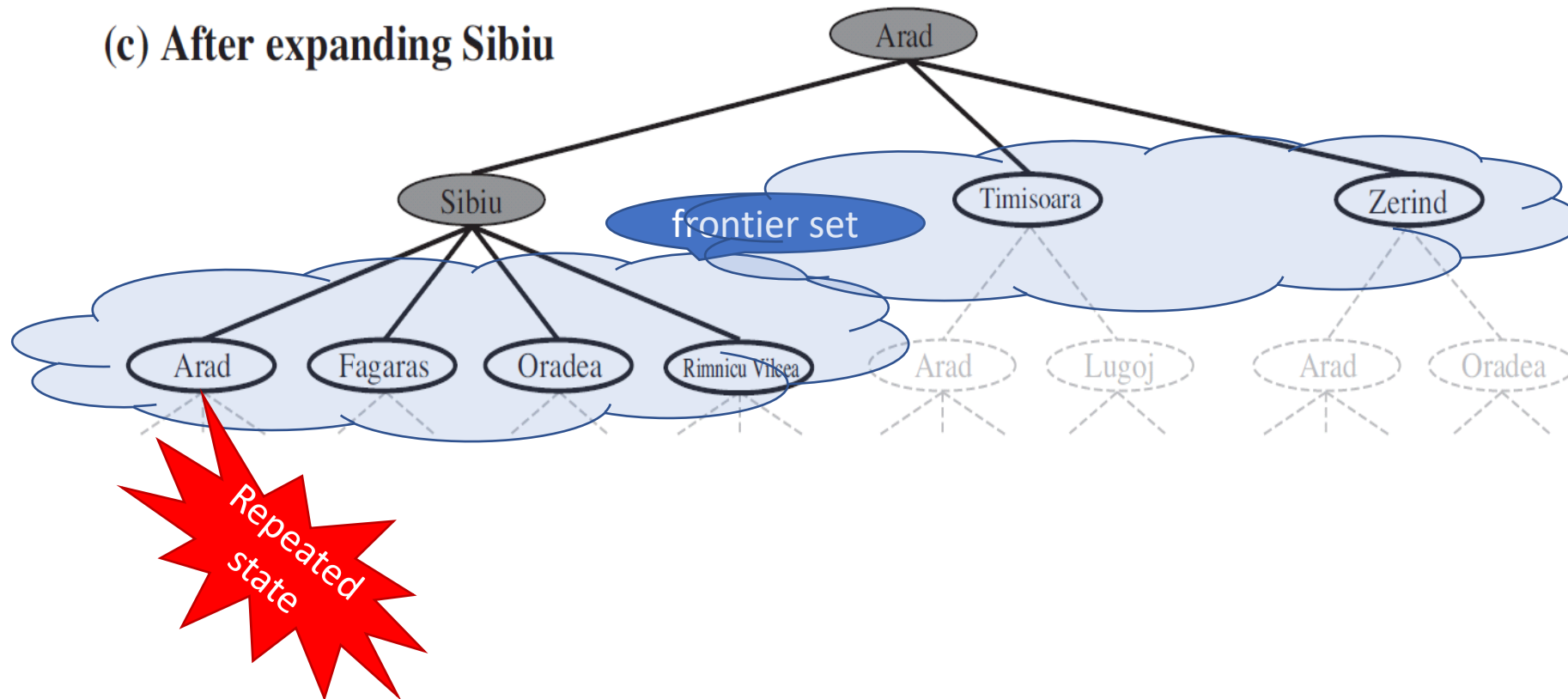


[Figure 3.6 R&N 2010]

frontier set also known as an open list or fringe set

# Search trees

(c) After expanding Sibiu



# Search tree

- Frontier set\* consists of leaf nodes
- Redundant paths occur when
  - $\exists$  more than 1 path between a pair of states
  - cycles in the search tree (loops) are a special case

\* Frontier set is also known as the open list or fringe set.



# Redundant paths

*“Those who cannot  
remember the past are  
condemned to repeat it”*



George Santayana,  
Spanish-American philosopher 1863-1952

- Sometimes, we can define our problem to avoid cycles  
e.g. n-queens: queen must be placed in the leftmost empty column
- Otherwise: Explored set
  - Track states that have been investigated
  - Don't add any actions that have already occurred

# Tree Search

```
function tree-search(problem)
  frontier = problem.initial_state()
  done = found = False
  while not done
    node = frontier.get_node() # remove state
    if node in problem.goals()
      found = done = True
    else
      frontier.add_nodes(results from actions(node))
      done = frontier.is_empty()
  return solution if found else return failure
```

# Graph Search

```
function graph-search(problem)
  frontier = problem.initial_state()
  done = found = False
  explored = {} # keep track of nodes we have checked
  while not done
    node = frontier.get_node() # Remove a state from the frontier and process it
    explored = union(explored, node)
    if node in problem.goals()
      found = done = True
    else
      # only add novel results from the current node
      nodes = setdiff(results from actions(node), union(frontier, explored))
      frontier.add_nodes(nodes)
      done = frontier.is_empty()
  return solution if found else return failure
```

# Search architecture

- Node representation
  - state – current state of the problem (problem state)
  - parent – ancestor in tree  
allows us to find the solution from a goal node by chasing pointers and reversing the path
  - action – Action on parent to generate this node
  - path-cost – What is the cost to reach this node from the tree's root. Usually denoted  $g(n)$ .

Important: Nodes in a search tree are search states. These are different from problem states.

# Search architecture

```
function child-node(problem, node, action)
  child.state = problem.result(node.state, action)
  child.parent = node
  child.path_cost = node.path_cost +
    problem.cost(node.state, action, child.state)
  return child
```

# Search architecture

- frontier set is usually implemented as a queue

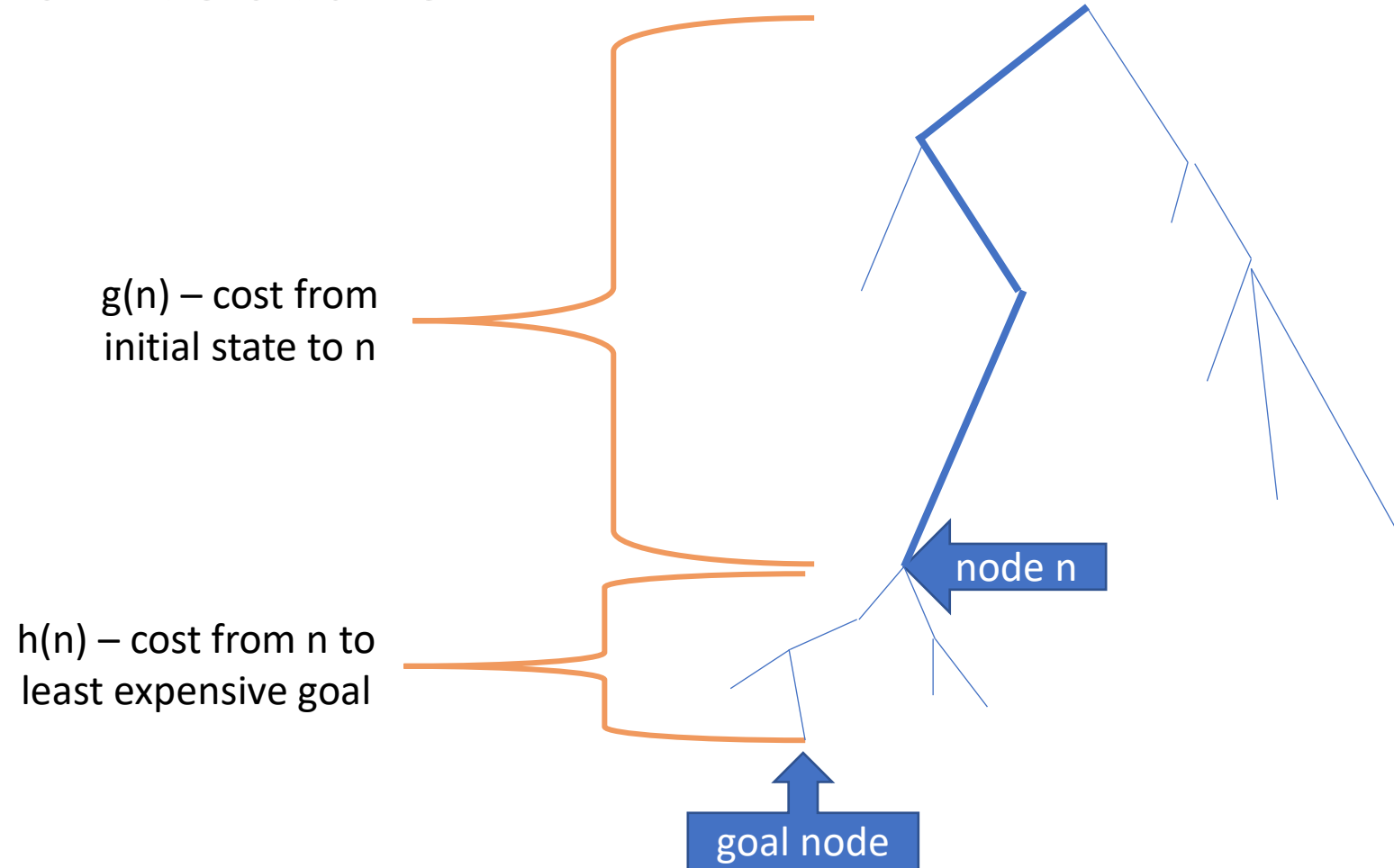
- FIFO – traditional queue
- LIFO – stack
- priority

We will develop a way such that it can always be a priority queue.

- Explored set – Need to make states easily comparable

- hash the state or
- store in canonical form (e.g. sort visited cities for traveling salesperson problem)


# Search architecture



*$g(n)$  and  $h(n)$  are frequently not known precisely.  
Estimates are denoted as  $g'(n)$  &  $h'(n)$  or  $\hat{g}(n)$  &  $\hat{h}(n)$*

# A generic graph search algorithm

```
function graph-search(problem)
  frontier = problem.initial_state() # priority queue (lowest cost)
  done = found = False
  explored = {} # keep track of nodes we have checked
  while not done
    node = frontier.get_node() # remove state
    explored = union(explored, node)
    if node in problem.goals()
      found = done = True
    else
      # only add novel results from the current node
      nodes = setdiff(results from actions(node), union(frontier, explored))
      for n in nodes
        n.cost = g'(n) + h'(n) # cost/estimate start → n + n → goal
      frontier.add_nodes(nodes) # merge new nodes in by estimated cost
    done = frontier.is_empty()
  return solution if found else return failure
```



Multiple search  
types w/ the  
same code?  
Cool!



# Questions to ask ourselves

Will a search be?

- complete – completeness guarantees to find a solution when one exists
- optimal – cheapest solution available as measured by the sum of costs of actions along the solution path



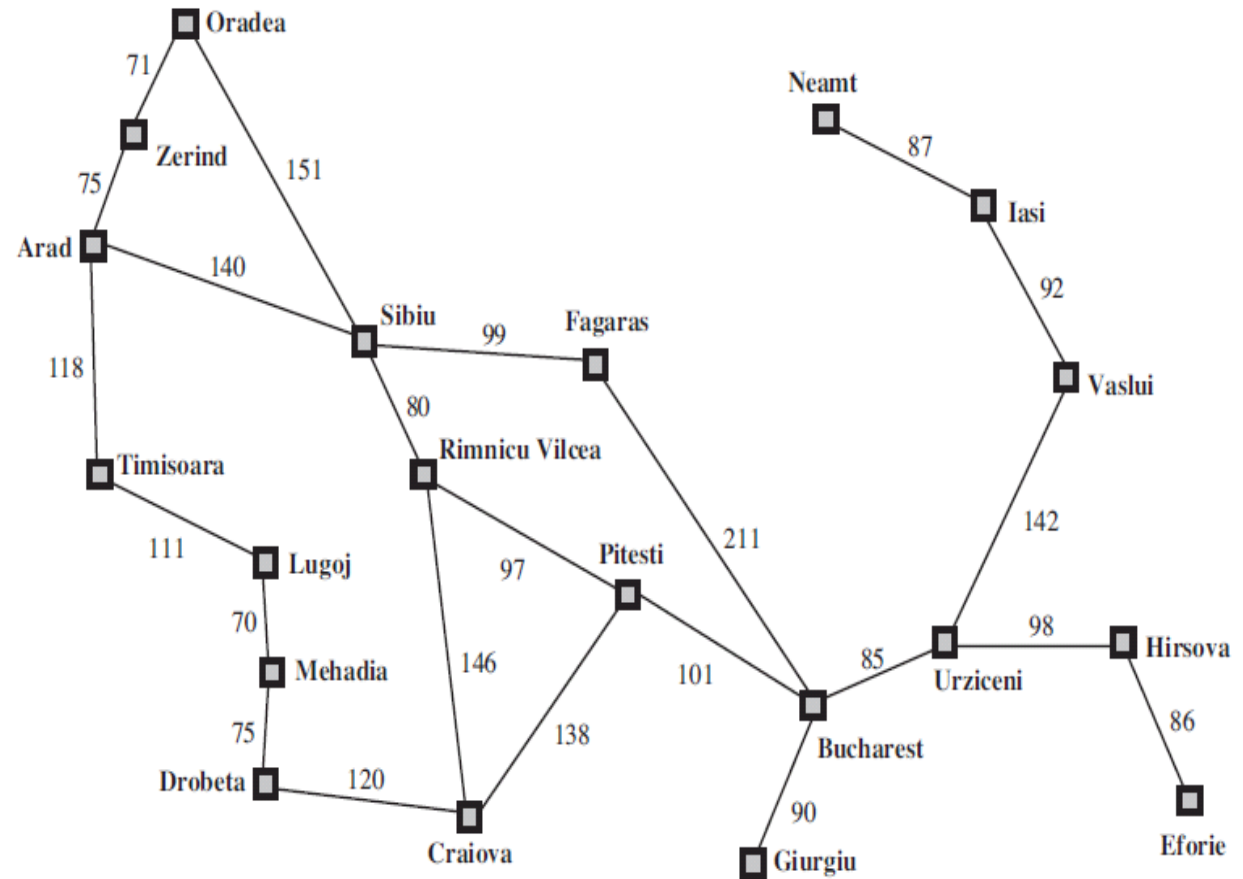
# Uninformed (blind) search

- No awareness of whether or not a state is promising
- Strategies depend on order of node expansion
  - breadth-first
  - uniform-cost
  - depth-first
  - variants: depth-limited, iterative deepening, bidirectional
- Note: Text uses different queue types for frontier, with our generic search algorithm everything is a priority queue, smallest values first.

# Breadth-first search

$\forall n \ g'(n) = \text{depth}(n)$  and  $h'(n) = 0$  (or any other constant  $k$ )

Abstract view of Romanian roads  
(Russel and Norvig 2010, Fig 3.2)



# Breadth-first search

- Guarantees
  - completeness – will find a solution if one exists
  - best (optimal) path *if cost is a nondecreasing  $f(\text{depth})$*
- How can we measure performance?
  - Time complexity
  - Space complexity

# Complexity

- Measure of the number of operations (time) or memory (space) required
- Analysis of performance as the number of items  $n$  grows:
  - worst case
  - average case
- Example:

There are  $T(n)=4n^2+1$   
arithmetic operations

```
def foobar(n):  
    x = 0  
    for i in xrange(n):  
        for j in xrange(n):  
            x = x + i*i + j*j  
    return x * x
```

# Complexity

- We define “big oh” of  $n$  as follows:

$$T(n) \text{ is } O(f(n)) \text{ if } T(n) \leq kf(n) \\ \text{for some } k \text{ \& } \forall n > n_0$$

- Role of  $k$  and  $n_0$

Coefficients of highest order polynomial aren't relevant.

- Implications:

- $T(n) = 4n^2+1 \rightarrow O(n^2)$

- $T'(n) = 500n+8 \rightarrow O(n)$

For some small values of  $n$ ,  $T(n)$  is better, but as  $n$  increases  $T(n)$  will be worse. Using the big-oh notation abstracts this away and we know in general that the second algorithm is better.

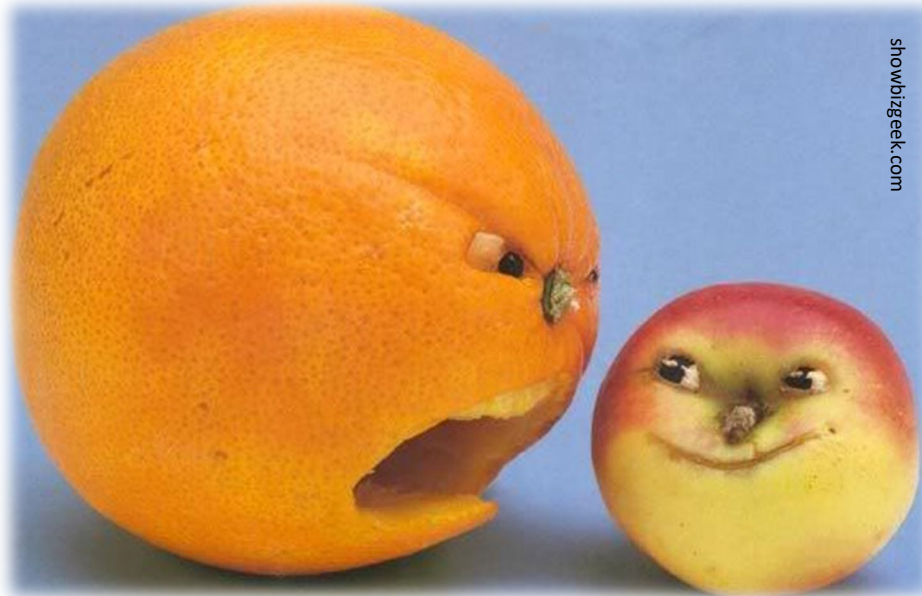
# Search complexity

Measured with respect to search tree:

- Complexity is a function of
  - Branch factor – max # of successors
  - Depth of the shallowest goal node
  - Maximum length of a state-space path
- Time measurement: # nodes expanded
- Space measurement: maximum # nodes in memory

# Search complexity

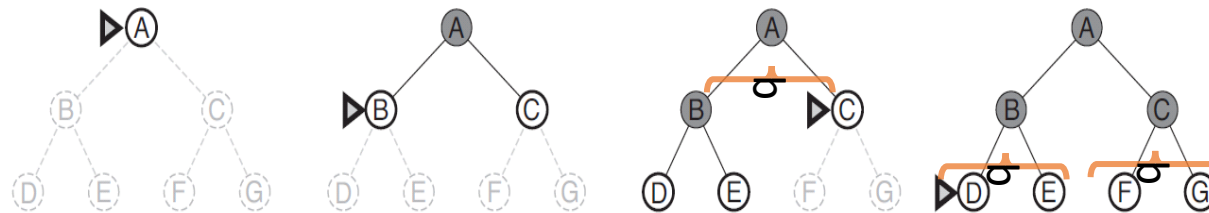
- “Search cost” – time complexity
- “Total cost” – time and space complexity  
Problematic to fuse the metrics...





# Breadth-first search performance

- Assume branch factor  $b$
- Time complexity:  
$$b + b^2 + b^3 + \dots + b^d = O(b^d) *$$
- Space complexity
  - Every generated node remains in memory,  $O(b^{d-1})$  in explored and  $O(b^d)$  in frontier.

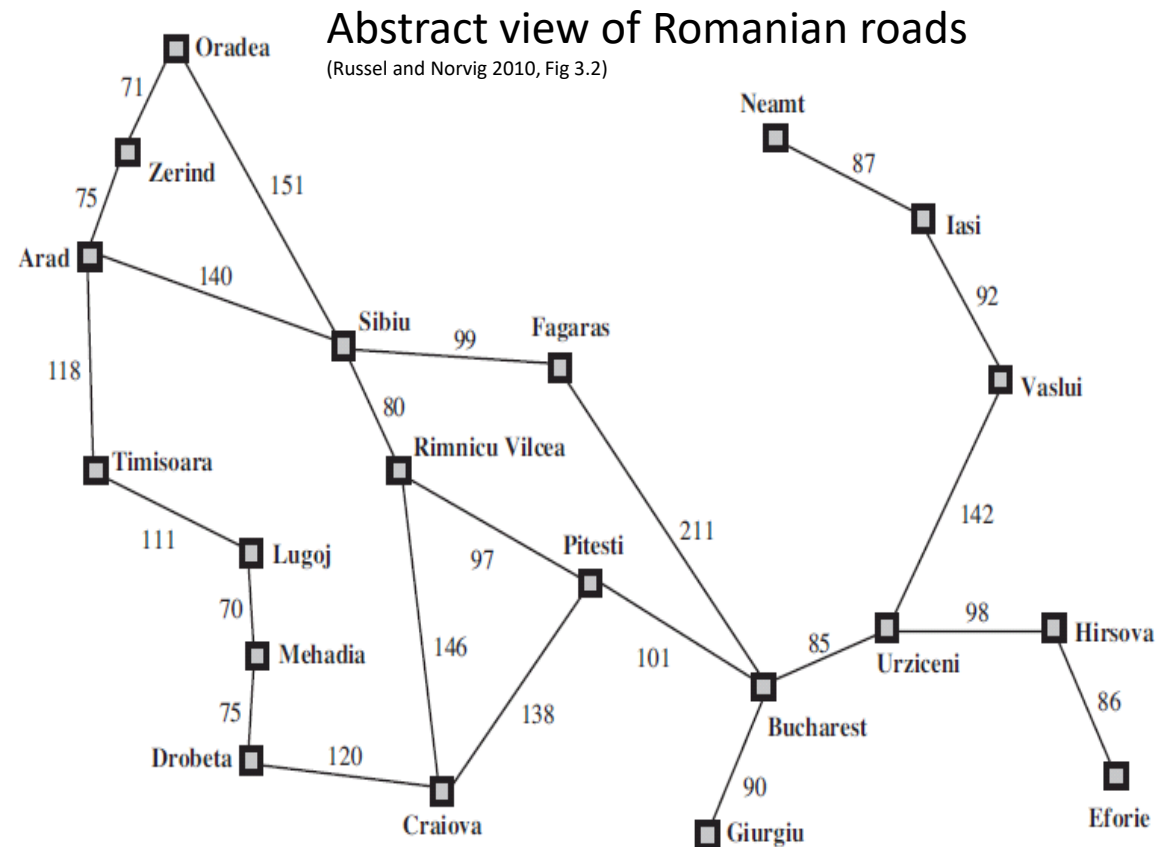


# Uniform-cost search

- Similar to breadth-first,  $g'(n)$  uses edge costs

$$\forall n \ g'(n) = \text{cost}(\text{edge}(\text{parent} \rightarrow n)) \text{ and } h'(n) = k$$

- Nodes are expanded in order of optimal cost  $\rightarrow$  optimal solution
- Complexity function of minimum cost for all actions

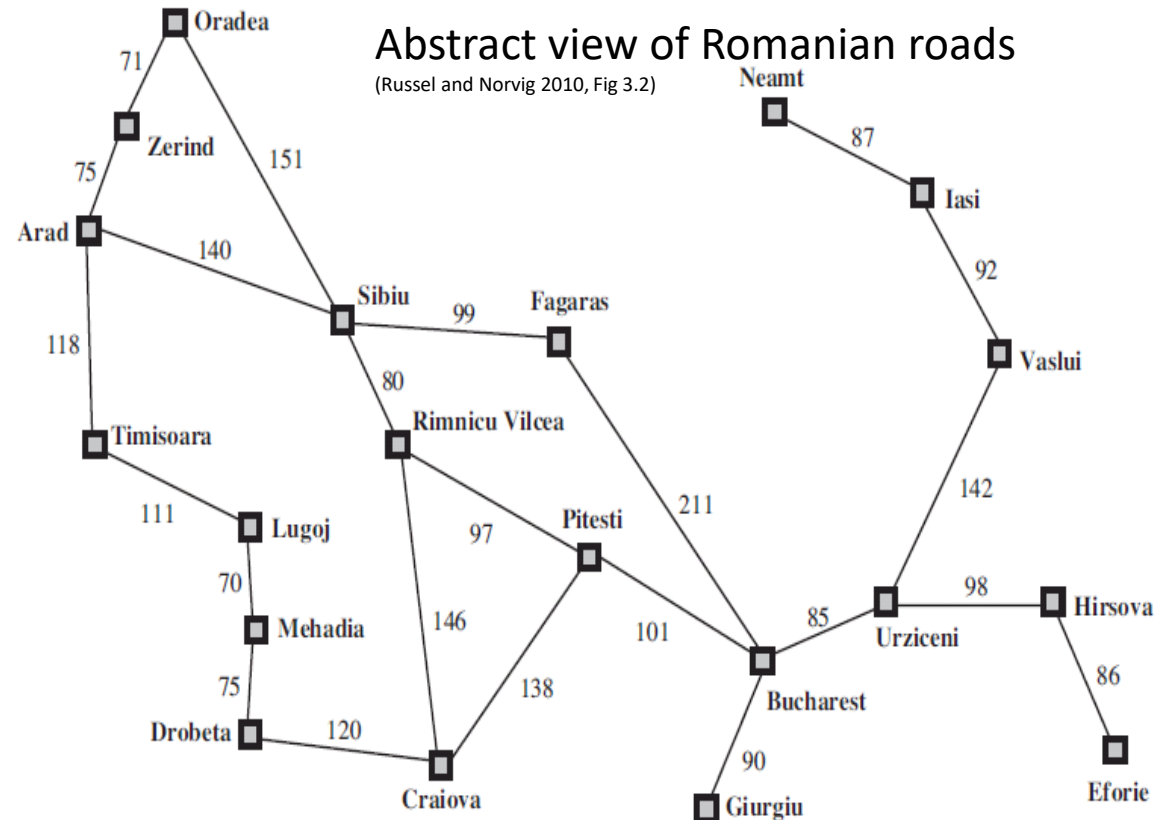


# Depth-first search

- Deepest node is expanded first

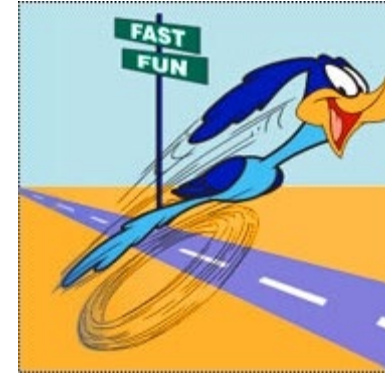
$$\forall n \ g'(n) = k \text{ and } h'(n) = -\text{depth}(n)$$

- Non-optimal
- Incomplete search
  
- Why bother?



# Depth-first search (DFS)

- DFS will explore other paths when there are no successors.
- Fast! If you hit the right path... but the average case analysis is  $O(b^m)$  where  $m$  is maximum depth.
- Space complexity is better:  $O(bm)$



# Iterative deepening

- Prevents infinite loops of depth-first search
- Basic idea
  - Depth-first search with a maximum depth
  - If the search fails, repeat with a deeper depth

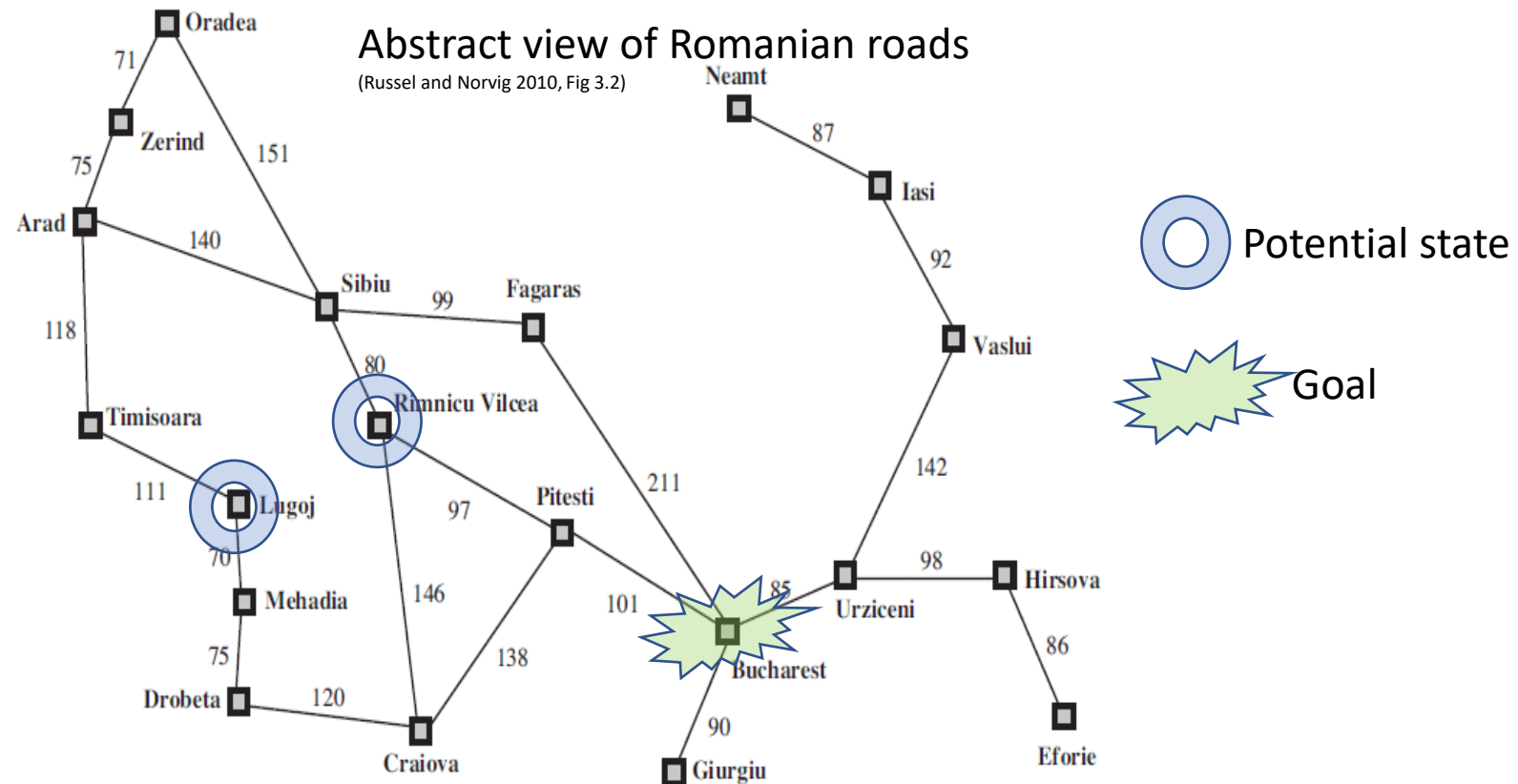
# Uninformed search

- Other variants exist
- For large search spaces, uninformed search is usually a bad idea



# Informed, or heuristic, search

- General idea: Can we guess the cost to a goal based on the current state?



# Heuristic

- $h(n)$  – Actual cost from a search graph node to a goal state *along the cheapest path*.
- $h'(n)$  – An estimate of  $h(n)$ , known as a heuristic.

Note that your text does not make a notational distinction between the actual cost and the estimated one and always uses  $h(n)$ , so we will frequently follow suit.

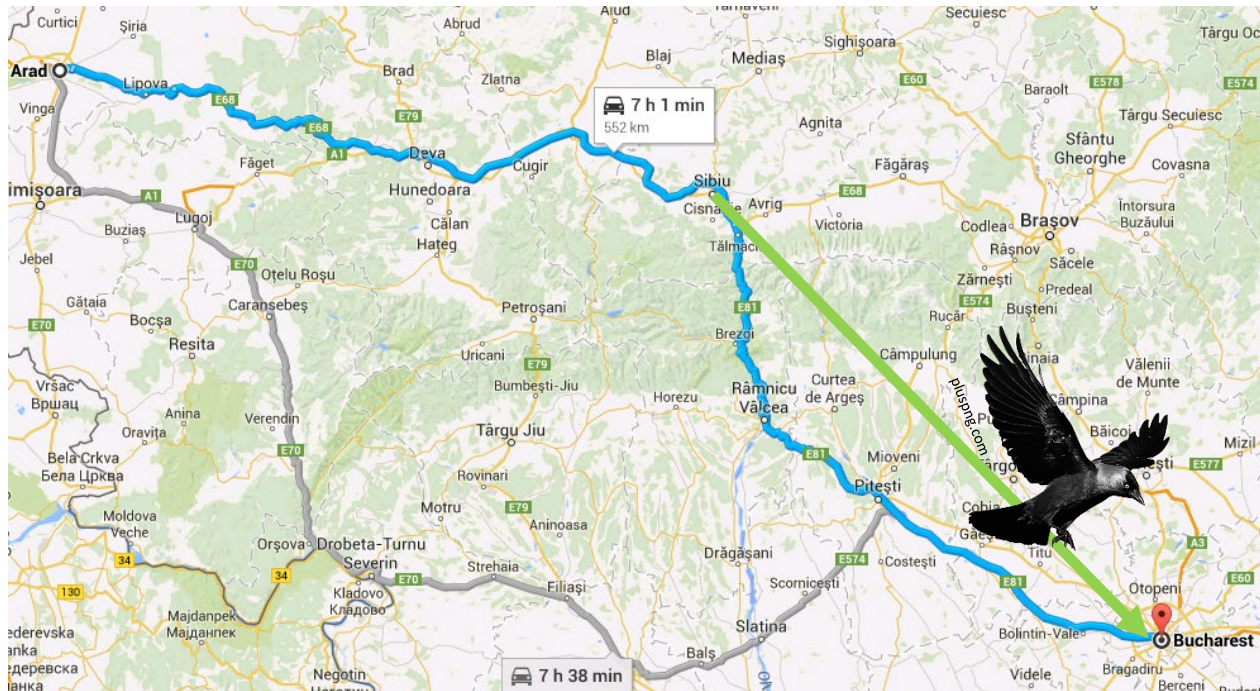


# Heuristic

- $h(n)$  is always  $\geq 0$
  - $h(n)$  is problem specific
  - Estimators of  $h(n)$  are similar.
- 
- One can think of a heuristic as an educated guess.  
We will look at how to construct these later...

# Greedy best-first search

- $g(n) = 0$ ,  $h(n)$  is heuristic value
- Example  $h(n)$  for Romania example:  
as the crow flies distance



# A\* Search

- “A-star” search uses:

- $g(n)$  = cost incurred to  $n$
- $h(n)$  = estimate to goal

$A^*$  is the estimated cost,  $f(n) = g(n) + h(n)$  from start to goal through state  $n$

# Heuristic properties

- admissible –  $h'(n)$  is optimistic:

$$h'(n) \leq h(n)$$

It *never overestimates* the cost to goal.

- consistency –  $h'(n)$  satisfies:

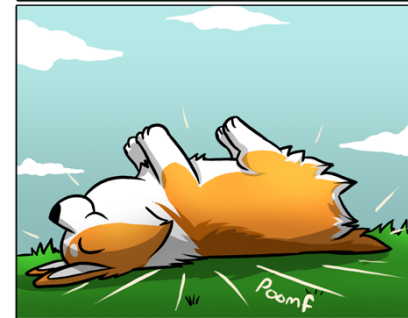
$$h'(n) \leq \text{cost}(n, \text{action}, n') + h'(n')$$

This is also known as monotonicity

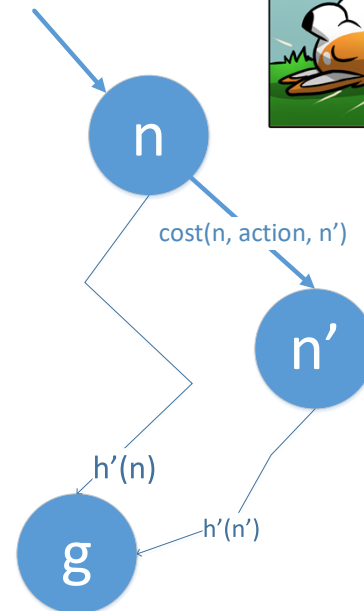
Ichabod the Optimistic Canine ☺



Luck of the Stral



© Ayla Stardragon 2014



Note: We are being careful about distinguishing the heuristic estimator  $h'(n)$  from the actual distance  $h(n)$

# Heuristic properties

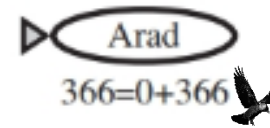
- Every consistent heuristic is also admissible.
- A\* is guaranteed to be:
  - for trees  
A\* optimal if  $h'(n)$  is admissible
  - for graphs  
A\* optimal if  $h'(n)$  is consistent

# Understanding A\*

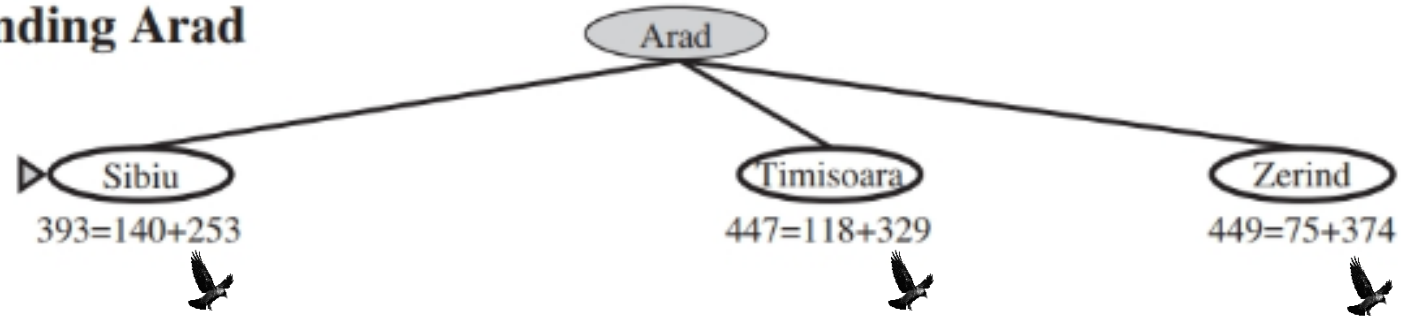
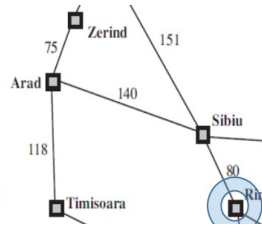
Remember:  $f(n) = g(n) + h'(n)$

✈  $h'(n)$  = as the crow flies distance from problem state to goal state

## (a) The initial state



## (b) After expanding Arad



## (c) After expanding Sibiu

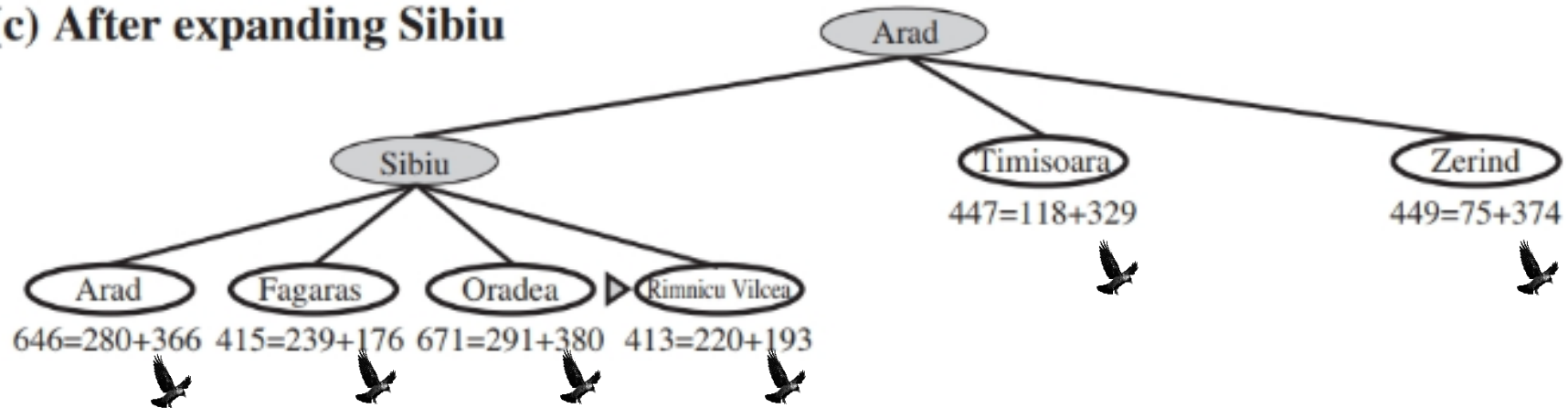
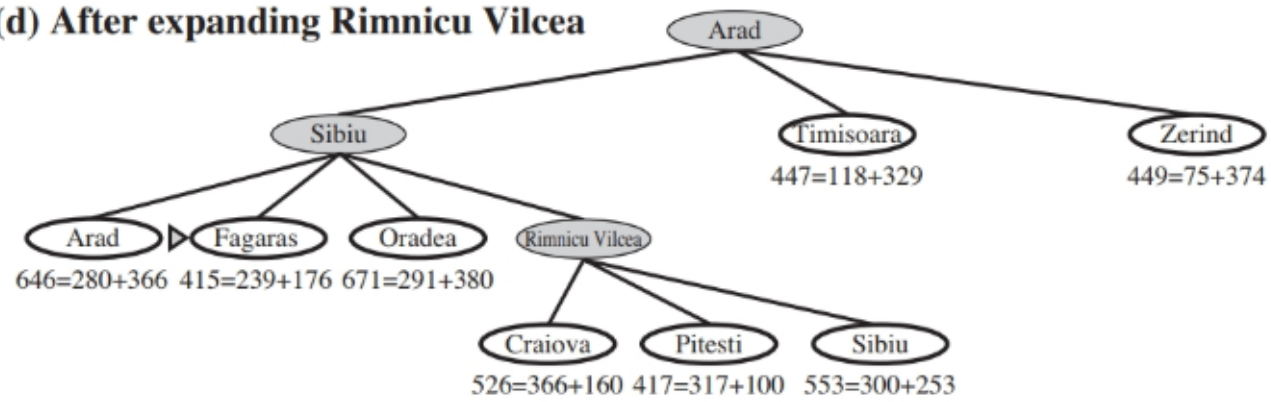
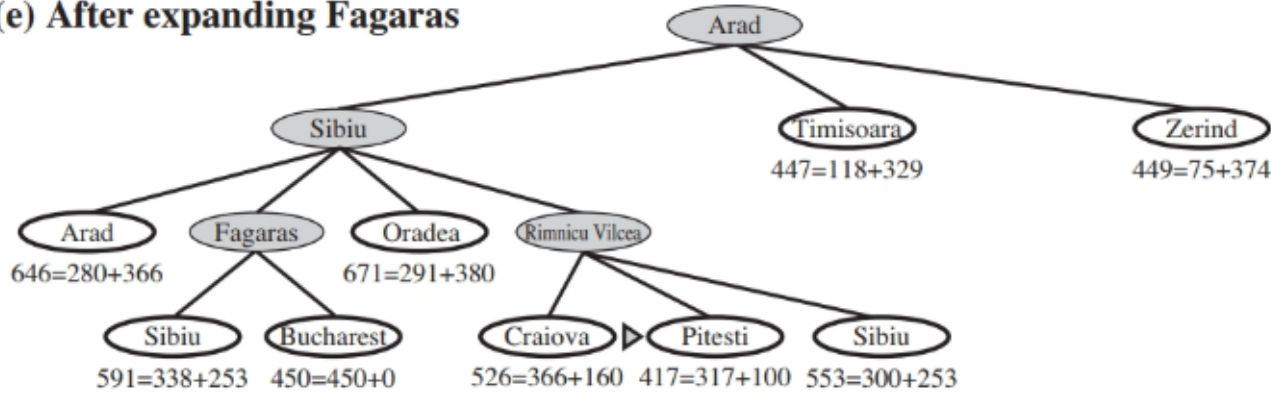


Figure 3.24, R&N

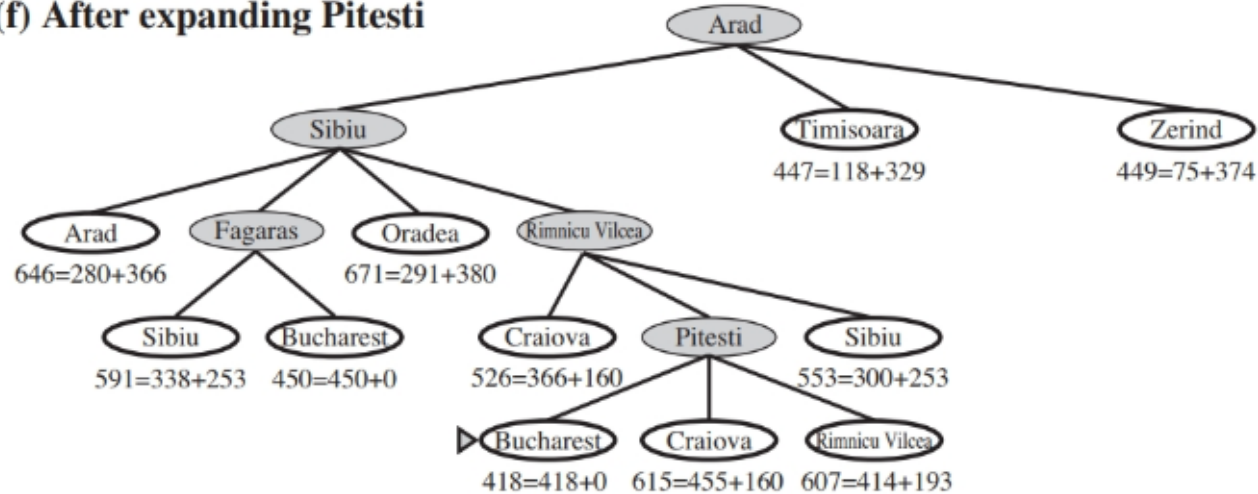
(d) After expanding Rimnicu Vilcea



(e) After expanding Fagaras

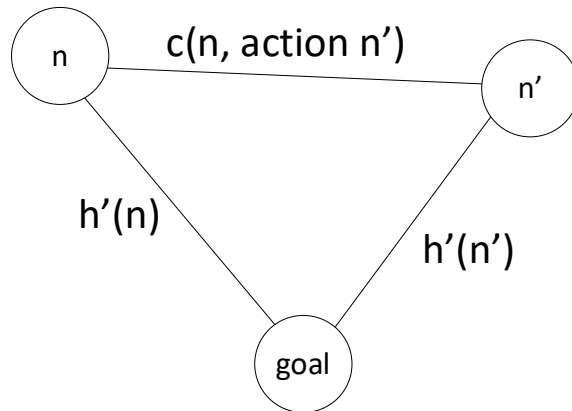


(f) After expanding Pitesti



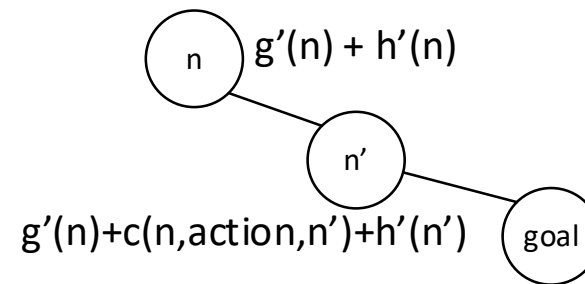
# Understanding A\* optimality

Consistency revisited:  
the **▲** inequality – the sum of any  
two sides  $\geq$  third side



$$h'(n) \leq c(n, \text{action}, n') + h'(n')$$

If  $h'$  consistent and costs  
are nonnegative, values of  
 $f(n)$  along any path are  
*nondecreasing*.





# Understanding A\* optimality

- Suppose we pick node  $n$
- Is the path to node  $n$ 's state optimal?

## Proof by contradiction

Assume  $f(n) = k$  and  $\exists$  an optimal path to node  $b$ :  $f(b) < k \wedge state(b) = state(k)$

We have not found  $b$ , so some node on its path  $(b_1, b_2, \dots, b)$  is in the frontier, call it  $b_i$ .

$f(b_i) \geq k$  as  $n$  was expanded in favor of  $b_i$ .

The cost to  $b_i$  is optimal by assumption:  $f(b_i) = g^*(b_i) + h(b_i) \geq k$

Admissibility gives us:  $h(b_i) \leq h^*(b_i) \rightarrow f(b_i) \leq g^*(b_i) + h^*(b_i)$

Since  $b_i$  is assumed to lie along a better path than  $n$ :  $f(b_i) \leq g^*(b_i) + h^*(b_i) < k$

which contradicts  $f(b_i) \geq k$ . ■

# Understanding A\* optimality

- When  $h(n)$  is consistent, the properties of:
  - nondecreasing values of  $f(n)$
  - guarantee that we pick the best path to  $n$

ensure that the first goal node we find is optimal.

- Completeness holds when there are a finite number of nodes with  $f(n) < \text{the optimal cost}$

# Limitations of A\*

- Need to find a heuristic
- Want an optimal path? Show heuristic is
  - admissible (tree search) or
  - consistent (graph search).
- Want completeness?  
Show the graph is finite for nodes with cost lower than the optimal one
- Note: expanded set requires nodes in memory (or at least cached) and is a frequent limitation of A\*

# A\* variants

- iterative deepening A\*  
Same idea as iterative depth-first search,  
but we place limits on  $f(n)$
- SMA\* - simplified memory A\*
  - When memory is full
    - drops worst frontier node (highest  $f(n)$ )
    - stores that value in parent, and will only reconsider branch when everything looks worse than the stored value
  - Details beyond our scope

# Heuristic search summary

- A\* can still have problems with space complexity
  - iterative deepening A\*
  - other alternatives listed in text
- Complexity of A\* search is tricky, but is related to
  - the error in the heuristic,  $h(n)-h'(n)$
  - and solution depth

# Developing heuristics

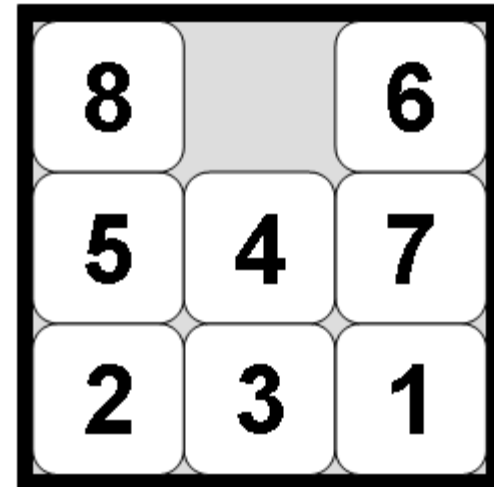
- Requires
  - knowledge of problem domain
  - thinking a bit (usually)
- Effort to show that heuristic is
  - admissible
  - consistent
- What heuristics could we use for the N-puzzle?

7	2	4
5		6
8	3	1

Start State

# N-puzzle heuristics

- Common heuristics
  - $h_1(n)$  – Number of misplaced tiles
  - $h_2(n)$  – Sum of Manhattan<sup>1</sup> distance of tiles to solution
- Are these
  - admissible? (never overestimates)
  - consistent? (non-decreasing path cost)



<sup>1</sup> Also known as city-block distance, the sum of vertical and horizontal displacement.

# Heuristics and performance

- Branching factor

- Measured against a complete tree of solution depth  $d$
- Suppose  $A^*$  finds a solution at
  - depth 5
  - 52 nodes expanded (53 with root)
- A complete tree of depth 5 would have

$$52 + 1 = b^* + (b^*)^2 + (b^*)^3 + (b^*)^4 + (b^*)^5$$

where  $b^*$  is the branch factor

- Using a root finder for  
we see  $b^* \approx 1.92$

$$1(b^*)^5 + 1(b^*)^4 + 1(b^*)^3 + 1(b^*)^2 + 1(b^*)^1 - 53(b^*)^0 = 0$$



# Heuristics and performance

- 8-puzzle example averaged over 100 instances

<i>d</i>	Search Cost (nodes generated)			Effective Branching Factor		
	IDS	$A^*(h_1)$	$A^*(h_2)$	IDS	$A^*(h_1)$	$A^*(h_2)$
2	10	6	6	2.45	1.79	1.79
4	112	13	12	2.87	1.48	1.45
6	680	20	18	2.73	1.34	1.30
8	6384	39	25	2.80	1.33	1.24
10	47127	93	39	2.79	1.38	1.22
12	3644035	227	73	2.78	1.42	1.24
14	–	539	113	–	1.44	1.23
16	–	1301	211	–	1.45	1.25
18	–	3056	363	–	1.46	1.26
20	–	7276	676	–	1.47	1.27
22	–	18094	1219	–	1.48	1.28
24	–	39135	1641	–	1.48	1.26

Fig. 3.29 R&N

- branch factors closer to one are better



# Finding heuristics

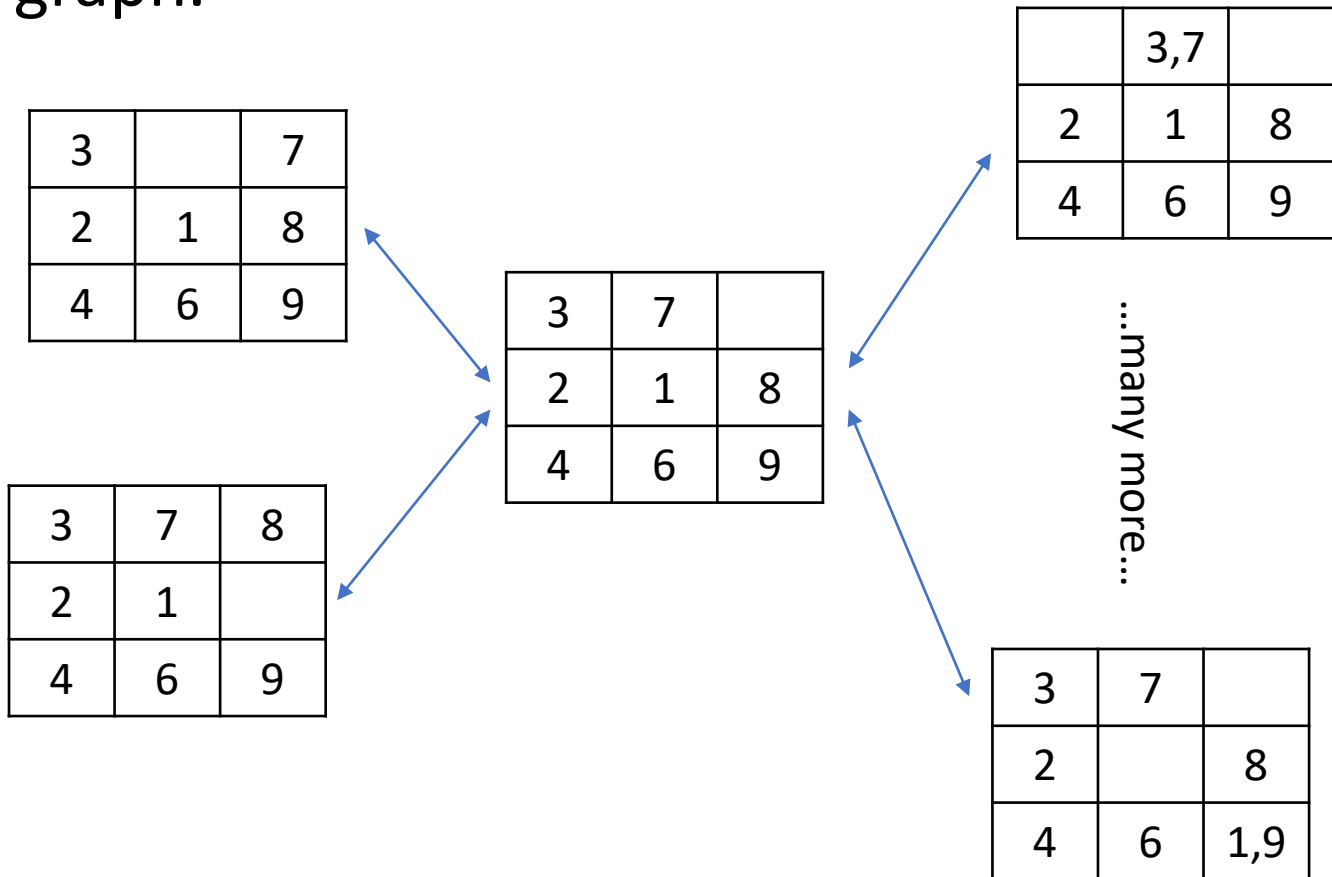
- Okay, developing a heuristic is hard
- Can we make it easier?

# Relaxed problem heuristics

- Let's return to the N-puzzle
- Suppose we allowed
  - A tile to move onto the next square regardless of whether or not it was empty.
  - A tile to move anywhere.
- These are relaxations of the rules

# Relaxed problems

We can think of these as expanding the state space graph.



# Relaxed problem heuristics

- The original state space is a subgraph of the new one.
- Heuristics on relaxed state space
  - Frequently easier to develop
  - If admissible/consistent properties hold in relaxed space, they also hold in the problem state space.

# Relaxation

- Can specify problem in a formal language, e.g.
  - $\text{move}(A,B)$  – means we can move A to position B  
We can do this if  
( $\text{verticalAdjacent}(A,B)$  or  $\text{horizontalAdjacent}(A,B)$ )  
and  $\text{isempty}(B)$
- Possible relaxations
  - $\text{move}(A,B)$  if  $\text{adjacent}(A,B)$
  - $\text{move}(A,B)$  if  $\text{isempty}(B)$
  - $\text{move}(A,B)$

# Automatically generated heuristics

With a formal specification of the problem there exist algorithms to find heuristics (beyond our scope, e.g. ABSOLVER)

Machine Learning, 12, 117-141 (1993)

© 1993 Kluwer Academic Publishers, Boston. Manufactured in The Netherlands.

## Machine Discovery of Effective Admissible Heuristics

ARMAND E. PRIEDITIS

PRIEDITIS@cs.UCDAVIS.EDU

*Department of Computer Science, University of California, Davis, CA 95616*

# Multiple heuristics

- Regardless of how generated, one may develop multiple heuristics for a problem
- We can merge them

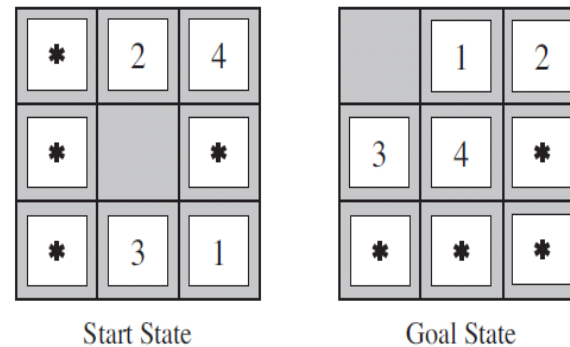
$$h'(n) = \max(h'_1(n), h'_2(n), \dots, h'_i(n))$$

why maximum?



# Pattern database heuristics

- Can we solve a subproblem?



- If we can, we can store its  $h(n)$

# Pattern database heuristics

- Cost usually found by searching back from goal nodes.
- Worth it if the search will be executed many times.
- Sometimes patterns are disjoint.
  - Solving one disjoint pattern won't affect the other
  - If so, the heuristic costs may be added

# Learning heuristics

- Use experience to learn heuristics
- Beyond our reach for now... (machine learning)

# Heuristic summary

(rough outline, no substitute for a little thought)

